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FORM PTO-1390
(REV. 5-93)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
2643/OG629

PCT

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

09/485352

INTERNATIONAL APPLICATION NO.
PCT/DE98/01943

INTERNATIONAL FILING DATE
13 July 1998

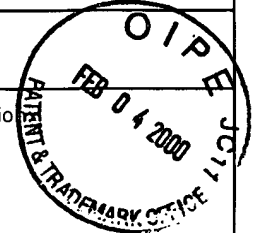
PRIORITY DATE CLAIMED
August 4, 1997

TITLE OF INVENTION

METHOD AND DEVICE FOR CUSTOMER PERSONALIZATION OF GSM CHIPS

APPLICANT(S) FOR DO/EO/US

Michael DUPRÉ



Applicant herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S. C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S. C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S. C. 371 (c)2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4) (unsigned)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.79 and 1.98.
12. ☐ An assignment document for recording. A **separate** cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney an/or address letter.
16. ☒ Other items or information: Substitute Pages, Translation of Substitute Pages.

EXPRESS MAIL CERTIFICATE

Date **2/4/2000** Label No. **503340143**

I hereby certify that, on the date indicated above I deposited this paper or fee with the U.S. Postal Service & that it was addressed for delivery to the Commissioner of Patents & Trademarks, Washington, D.C. 20231 by "Express Mail Post Office to Addressee" service.

D Beck
Name (Print)

D Beck
Signature

U.S. APPLICATION (if known, see 2.1.50) 09/485332		INTERNATIONAL APPLICATION PCT/DE98/01943		Attorney's Docket Number 2643/0G629	
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17. [X] The following fees are submitted: Basic National Fee (37 CFR 1.492 (a)(1)-(5)): Search Report has been prepared by the EPO [X] or JPO [] \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2))... \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS	PTO USE ONLY
				\$840.00	

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
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Claims	Number Filed	Number Extra	Rate		
Total Claims	9	0	0 X \$18.00	\$0	
Independent Claims	2	0	0 X \$78.00	\$0	
Multiple dependent claims(s) (if applicable) + 260				\$	
TOTAL OF ABOVE CALCULATIONS =				\$840.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$840.00	
Processing fee of \$130.00 for furnishing the English translation later the [] 20 [] 39 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$840.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). the assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$0.00	
TOTAL FEES ENCLOSED =				\$840.00	
				Amount to be refunded	\$
				charged:	\$

a. [X] A check in the amount of \$840.00 to cover the above fees is enclosed.

b. [] Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 Christa Hildebrand, Esq.
 Darby & Darby P.C.
 805 Third Avenue
 New York, New York 10022-7513

SIGNATURE Christa Hildebrand

NAME Christa Hildebrand

REGISTRATION NO. 34,953

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Return of this card properly stamped, will acknowledge receipt of: receipt of:

1. PCT APPLICATION (Descr. 11 sheets; claims 3 sheets; Abstract 1 sheet, Drawings 2 sheets)
2. PRELIMINARY AMENDMENT
3. PCT TRANSMITTAL LETTER
4. PCT FEE CALCULATION SHEET
5. DECLARATION AND POWER OF ATTORNEY (unsigned)
6. SUBSTITUTE PAGES
7. TRANSLATION OF SUBSTITUTE PAGES
8. CHECK # 2959 FOR \$840.00

Applicant : MICHAEL DUPRÉ
Serial No. : To Be Assigned
For : METHOD AND DEVICE FOR CUSTOMER
PERSONALIZATION OF GSM CHIPS

Attorney : CH/mb
File No. : 2643/0G629

Mailed 2/4/2000 Mailer: DP

DARBY & DARBY PROFESSIONAL
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